

**State of Rhode Island and Providence Plantations
DEPARTMENT OF BUSINESS REGULATION (DBR)
1511 Pontiac Avenue, Bldg. 68-2
Cranston, Rhode Island 02920**

**Division of
Design Professionals BOARD OF EXAMINATION AND
REGISTRATION OF ARCHITECTS
MEETING MINUTES**

DATE: July 21, 2014

**PLACE: Department of Business Regulation (DBR)
1511 Pontiac Avenue, Bldg 68-1 Conference Room
Cranston, RI 02920**

MEMBERS PRESENT: Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, Members David L. DeQuattro (Present from 1:14 p.m. – 2:33 p.m.) and Thomas D. Lonardo

MEMBERS ABSENT: None

OTHERS PRESENT: Jenna Algee, Esq., DBR Legal Counsel (Present from 1:16 p.m. – 1:53 p.m.)

Dawne Broadfield, Board Executive

CALLED TO ORDER: Chair Ladds called the meeting to order at 1:14 p.m.

A. MINUTES OF THE MEETING OF THE BOARD

(1) Vice-Chair Grosvenor moved to approve the open meeting minutes of June 16, 2014 as published. Member DeQuattro seconded.

Motion passed, 3-0, three (3) in favor, two (2) abstained. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, and Member David L. DeQuattro. Secretary David R. Prengaman abstained since he was not present at that meeting. Member Lonardo abstained since this was his first meeting.

(2) Vice-Chair Grosvenor moved to approve and seal the executive session meeting minutes of the June 16, 2014 as published. Member DeQuattro seconded. Motion passed, 3-0, three (3) in favor, two (2) abstained. Motion passed, 3-0, three (3) in favor, two (2) abstained. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, and Member David L. DeQuattro. Secretary David R. Prengaman abstained since he was not present at that meeting. Member Lonardo abstained since this was his first meeting.

B. EXECUTIVE SESSION

(1) Vice-Chair Grosvenor moved to convene into executive session at 1:15 p.m. pursuant to RI General Laws, §42-46-5 (a)(2) for sessions or work sessions pertaining to collective bargaining or litigation and §42-46-5 (a)(4) for investigative proceedings regarding allegations of civil or criminal misconduct. Member DeQuattro seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were

Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, Members David L. DeQuattro and Thomas D. Lonardo.

(2) Vice-Chair Grosvenor moved to adjourn from executive session and to reconvene to an open meeting at 1:50 p.m. pursuant to R.I.G.L. §42-46-4. Secretary Prengaman seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, Members David L. DeQuattro and Thomas D. Lonardo.

(3) Vice-Chair Grosvenor moved to seal the executive session and record the votes taken in executive session pursuant to RI General Laws §42-46-4 and §42-46-5. Member DeQuattro seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, Members David L. DeQuattro and Thomas D. Lonardo.

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(4) Vice-Chair Grosvenor moved to record the votes taken in executive session in accordance with RI General Laws §42-46-4 as follows: Motion was made to continue agenda items 1.A, C, D, and F, and 2.J and to close agenda items 1.B, E and 2.G, H, I and K.

Secretary Prengaman seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, Members David L. DeQuattro and Thomas D. Lonardo.

(5) Vice-Chair Grosvenor moved pursuant to RI General Law §42-46-4 that the Board defer disclosure of all votes in executive session until such time as such disclosure would not jeopardize any strategy, negotiation, or investigation undertaken concerning RI General Law §42-46-5(a). Member DeQuattro seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, Members David L. DeQuattro and Thomas D. Lonardo.

(6) In Executive Session closed matters, the vote was five (5) to zero (0) to close six (6) matters with no recusals. Each vote was unanimous at the time that it was taken with zero (0) Board Member absent.

(7) In Executive Session pending matters, there are four (4) pending matters, comprised of three (3) investigation matters and one (1) registration matter with zero (0) Board Member absent and zero (0) Board Member recusal in zero (0) matter.

C. OLD BUSINESS

(1) Rules and Regulations – Public Hearing – 1:00 p.m.

With no update, Vice-Chair Grosvenor moved to continue. Secretary Prengaman seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, Members David L. DeQuattro and Thomas D. Lonardo.

D. NEW BUSINESS

(1) Continuing Education (CE) Audit

Vice-Chair Grosvenor moved that the Board will now re-audit those individuals who have been delinquent of their Continuing Education on their previous registration period and that the Board not tell them that the Board is re-auditing them and that the Board ask Attorney Algee to give the Board clarification on its ability to fine should the Board want to take disciplinary action in the future. Member DeQuattro seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, Members David L. DeQuattro and Thomas D. Lonardo.

(2) Board Policies

Vice-Chair Grosvenor moved as of today the Board will accept a listing from Mrs. Broadfield as compliance with the former Chairwoman's request that there be documentation on the COAs processing and that then puts the Board into full compliance with its meeting schedule that the Board will have its next meetings on September 15, 2014 and November 17, 2014. Member DeQuattro

seconded. Motion passed, 5-0, five (5) in favor, zero (0) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, Members David L. DeQuattro and Thomas D. Lonardo.

(3) Any other new business to come before the Board.

E. National Council of Architectural Registration Boards (NCARB) Information and Updates)-(Review/Take Action)

(1) NCARB Announcements, Publications, etc. – No comments on the standard publications.

**(2) NCARB 2014 Annual Meeting - Philadelphia, PA – June 18-22, 2014
• Final Resolutions – All Resolutions passed.**

(3) NCARB is requesting the Board's comments regarding the Proposed Changes to the Intern Development Program (IDP), Broadly Experienced Architect (BEA) and Broadly Experienced Foreign Architect (BEFA)

After reviewing all four (4) items to include: IDP – Phase 1: Streamline and Phase 2: Overhaul; BEA and BEFA changes, Vice-Chair Grosvenor moved to accept NCARB's recommendations. Member Lonardo seconded. After lengthy discussion, the Board will notify NCARB that it agrees. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, and Member Thomas D. Lonardo. Member David L. DeQuattro was absent from this vote.

F. APPLICATIONS

(1) REGISTRATION(S) FOR REGISTRATION PROCESSED BY THE BOARD EXECUTIVE

The Board reviewed application actions issued by the Board Executive on the July 21, 2014 list attached and so noted. Individuals granted reciprocity are as follows: Antonino Donato, Nunzio DeSantis, Neil Tod Hauck, Stephen Kieran, Gerald Patrick Noe, Aaron M. Rodebaugh, and Clay Benjamin Smook. Individuals granted reciprocity reinstatement is as follows: Kevin Golemme (4524).

(2) RECIPROCAL REGISTRATION REQUESTS FROM INDIVIDUALS REGISTERED IN OTHER STATES SEEKING REGISTRATION IN RHODE ISLAND FOR BOARD ACTION

Secretary Prengaman moved to approve the reciprocity application for Russell W. Cardozo. Vice-Chair Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, and Member Thomas D. Lonardo. Member David L. DeQuattro was absent from this vote.

(3) REGISTRATION REINSTATEMENT REQUESTS FROM INDIVIDUALS REQUESTING REINSTATEMENT OF HIS/HER RHODE ISLAND REGISTRATION FOR BOARD ACTION

Vice-Chair Grosvenor moved to continue the reinstatement application for Richard Hrycaj, (3793) waiting for his explanation as to why he answered “no” to question on the application as to whether or not he was previously registered in Rhode Island. Secretary Prengaman seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, and Member Thomas D. Lonardo. Member David L. DeQuattro was absent from this vote.

(4) INITIAL REGISTRATION REQUESTS FROM INDIVIDUALS WHO HAVE COMPLETED THE REQUIRED EDUCATION, INTERN DEVELOPMENT PROGRAM (IDP) AND THE ARCHITECTURAL REGISTRATION EXAMINATION (ARE) THROUGH THE NATIONAL COUNCIL OF ARCHITECTURAL REGISTRATION BOARDS (NCARB) DIRECT REGISTRATION PROGRAM FOR BOARD ACTION.

No applications were reviewed by the Board at this time.

G. APPLICATIONS

(1) CERTIFICATES OF AUTHORIZATION (COA) APPLICATIONS (See Attached List)

(A) Chair Ladds moved to approve the applications for the Certificates of Authorization for the Sole Proprietorships for AD-Architect; Neil Hauck, AIA; and Buddy D. Webb. Vice-Chair Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair

John K. Grosvenor, Secretary David R. Prengaman, and Member Thomas D. Lonardo. Member David L. DeQuattro was absent from this vote.

(B) Chair Ladds moved to approve the applications for the Certificates of Authorization for the Limited Liability Companies for Cross River Architects, LLC; Lincoln Architecture, LLC; and Rob Wooding Architect, LLC subject to receipt of a Certificate of Good Standing from the Rhode Island Secretary of State's office and DF Facility Solutions, LLC, #ALLC62 for a Change in Manager/Member. Vice-Chair Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, and Member Thomas D. Lonardo. Member David L. DeQuattro was absent from this vote.

(C) Chair Ladds moved to send a letter indicating the renewal and amended applications for the Certificate of Authorization for the Corporation for AECOM Technical Services Architects & Engineers Inc., #A-14324, has been denied due to lack of response, to close the matter and to cash the check. Vice-Chair Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, and Member Thomas D. Lonardo. Member David L. DeQuattro was absent from this vote.

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(D) Vice-Chair Grosvenor moved to deny application for the Certificate of Authorization for the Corporation for Cardozo Architects Inc. DB Russell W. Cardozo since it does not meet Section 5.4 of the Board's Rules and Regulations. Chair Ladds seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, and Member Thomas D. Lonardo. Member David L. DeQuattro was absent from this vote.

(E) Chair Ladds moved to approve the application for the Certificate of Authorization for the Corporation for New England Medical Design, Inc., (NEMD), #A-14010 for a Change in Responsible Control. Vice-Chair Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, and Member Thomas D. Lonardo. Member David L. DeQuattro was absent from this vote.

(F) Chair Ladds moved to conditionally approve pending amending the application and resubmitting to indicate "Director and/or Officer" of the Corporation the application for the Certificate of Authorization for the Corporation for MWS Architects Incorporated and subject to

receipt of the Certificate of Good Standing from the Rhode Island Secretary of State's office. Vice-Chair Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, and Member Thomas D. Lonardo. Member David L. DeQuattro was absent from this vote.

(G) Chair Ladds moved to conditionally approve the application for the Certificate of Authorization for the Corporation for Smook Architecture & Urban Design, Inc. on condition that the e-mail correction be attached to the online application since the software will not allow to go back in and correct and subject to receipt of the Certificate of Good Standing from the Rhode Island Secretary of State's office. Secretary Prengaman seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary David R. Prengaman, and Member Thomas D. Lonardo. Member David L. DeQuattro was absent from this vote.

(4) Staff Review of COAs

See "New Business"

G. ADJOURNMENT

Chair Ladds announced that the next Board meeting is scheduled for

Monday, September 15, 2014, at 1:00 p.m. at the DBR, John O. Pastore Center, 1511 Pontiac Avenue, Conference Room 68-1, Cranston, RI 02920. Vice-Chair Grosvenor moved to adjourn the meeting at 3:30 p.m. Secretary Prengaman seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Christian J. Ladds, Vice-Chair John K. Grosvenor, Secretary Prengaman, and Member Thomas D. Lonardo. Member David L. DeQuattro was absent from this vote.

Respectfully submitted,

**David R. Prengaman, AIA, NCARB
Secretary**

DRP/dmb

• Posted on September 16, 2014 electronically on Secretary of State's

Office website. Pursuant to the Open Meetings Law, R.I. Gen. Laws Sec. 42-46-6(b), business not included in this notice may be considered with the consent of the majority of the members, and/or may convene into Executive (closed) Session pursuant to R.I. Gen. Laws Sec. 42-46-5.

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APPLICATIONS

Board Meeting of JULY 21, 2014

APPLICATIONS FOR REGISTRATION PROCESSED IN THE LAST MONTH IN THE FOLLOWING CATEGORIES:

RECIPROCITY APPLICATIONS

ALL RECIPROCITY APPLICATIONS BELOW WERE ISSUED REGISTRATIONS BY THE BOARD EXECUTIVE. THE BOARD REVIEWED AND SO NOTED BY THE BOARD.

ANTONINO DONATO AD ARCHITECT MA SO NOTED

NUNZIO DESANTIS HKS INC. TX SO NOTED

NEIL TOD HAUCK NEIL HAUCK ARCHITECTS LLC CT SO NOTED

STEPHEN KIERAN KIERAN TIMBERLAKE ASSOCS PA SO NOTED

GERALD PATRICK NOE GPN ARCHITECTS SC SO NOTED

AARON M. RODEBAUGH GPD ASSOCIATES, LLC OH SO NOTED

**CLAY BENJAMIN SMOOK SMOOK ARCH & URBAN DESIGN MA SO
NOTED**

RECIPROCITY (REINSTATEMENT) APPLICATIONS

**KEVIN GOLEMME GOLEMME MITCHELL ARCHTECTS MA SO
NOTED**

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CERTIFICATE OF AUTHORIZATION (COA) APPLICATIONS
Board Meeting of JULY 21, 2014

SOLE PROPRIETORSHIPS

AD-ARCHITECT - 0 - MA APPROVED – 07/21/14

NEIL HAUCK, AIA - 0 - CT APPROVED – 07/21/14

BUDDY D. WEBB - 0 - MO APPROVED – 07/21/14

LIMITED LIABILITY COMPANY

CROSS RIVER ARCHITECTS, LLC - 0 - NY APPROVED – 07/21/14

DG FACILITY SOLUTIONS, LLC - 0 - NH APPROVED – 07/21/14

#ALLC62 – Change in Manager/Member

Removed: Stephen R. Gilman, PE and Roderick D. Bard, PE

Added: Christopher A. Ciocchi, PE, SE and David S. Wittliff, PE

LINCOLN ARCHITECTURE LLC - 0 - MA APPROVED – 07/21/14

ROB WOODING ARCHITECT, LLC - 0 - RI APPROVED – 07/21/14

CORPORATIONS

**AECOM TECHNICAL SERVICES ARCHITECTS CONTINUE FOR ONE
(1) MONTH – 03/17/14**

**& ENGINEERS INC. - 0 - CA CONTINUE – 04/21/14, CONTINUE –
05/19/14,**

**#A14324 – Change in Responsible Control CONTINUE – 06/16/14 –
DENIED DUE TO LACK**

**Removed: Lawrence M. Rosenbloom (2133) OF RESPONSE, CLOSE
AND CASH CHECK -**

07/21/14

CARDOZO ARCHITECTS INC. DBA DENIED – 07/21/14

**RUSSELL W. CARDOZO - 0 - MD DOES NOT COMPLY WITH
SECTION 5.4 OF**

(See E-mail Train Attached THE RULES AND REGULATIONS

**NEW ENGLAND MEDICAL DESIGN, INC. (NEMD) - 0 - RI APPROVED
– 07/21/14**

#A14010 – Change in Responsible Control

Added: Andrew Ellis (3743)

**NWS ARCHITECTS INCORPORATED - 0 - IL APPROVED – PENDING
RESUBMITTING**

**AMENDED APPLICATION TO REFLECT
DIRECTORS AND/OR OR OFFICERS – 07/21/14**

**SMOOK ARCHITECTURE & URBAN DESIGN, INC. - 0
- MA APPROVED – ON CONDITION THAT THE
E-MAIL AMENDING THE APPLICATION TO
REFLECT “DIRECTORS AND/OR OFFICERS” IS
ATTACHED TO THE APPLICATION SINCE THE
ONLINE SOFTWARE DOES NOT ALLOW TO
MAKE CHANGES – 07/21/14**

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